



INVESTOR PROFILE QUESTIONNAIRE: Print Name _____

This questionnaire will help define important factors such as your investment objectives, time horizon, and your attitudes about investing.

INVESTMENT OBJECTIVES	Circle One
Which of the following best describes your objectives? <ul style="list-style-type: none"> • Preserving principle • Growing my assets reasonable with a moderate degree of risk • Growing my assets substantially with a high degree of risk 	1 2 3
Ten years from now, what do you expect your portfolio value to be? <ul style="list-style-type: none"> • The same or a little more than it is today • Moderately greater than it is today • Substantially greater than it is today 	1 2 3
My current income and future income sources (e.g., salary, pension, social security) are: <ul style="list-style-type: none"> • Unstable • Somewhat stable • Stable 	1 2 3
Investment Objectives Total	
TIME HORIZON	
How many years until your need for retirement income begins? <ul style="list-style-type: none"> • 0 to 5 years • 5 to 10 years • 10 to 15 years • 15 years or longer 	1 2 5 10
What is your age? <ul style="list-style-type: none"> • Over 66 • 56 to 65 • 46 to 55 • Under 46 	1 2 5 10
Time Horizon Total	

